

28111/37903

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/018452

INTERNATIONAL APPLICATION NO.

PCT/GB00/01679

INTERNATIONAL FILING DATE

2 May 2000

PRIORITY DATE CLAIMED

30 April 1999

TITLE OF INVENTION

SPECIFIC ANTIBODIES AND ANTIBODY FRAGMENTS FOR TGFB1

APPLICANT(S) FOR DO/EO/US

Julia Elizabeth Thompson, Simon Nicholas Lennard, Alison Jane Wilton, Peta Sally Helena Braddock, Sarah Leila DuFou, John Gerald McCafferty, Louise Anne Conroy, and Philip Ronald Tempest

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail EL 564 460 856 US
23. ☒ Other items or information:

Information Disclosure Statement
Form PTO-1449 and References

Page 2 of 2

10/018452

531 Rec'd PCT

30 OCT 2001

Applicants: Julia Elizabeth Thompson
Simon Nicholas Lennard
Alison Jane Wilton
Peta Sally Helena Braddock
Sarah Leila DuFou
John Gerald McCafferty
Louise Anne Conroy
Philip Ronald Tempest

Mailing Certification for: U.S. National Stage Filing based on GB00/01679

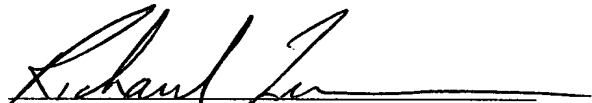
For: Specific Antibodies and Antibody Fragments for TFGβ1

Attorney Docket No. 28111/37903

• "EXPRESS MAIL" mailing label No. EL 564 460 856 US

• Date of Deposit: October 30, 2001

I hereby certify that this **TRANSMITTAL LETTER CONCERNING FILING UNDER 35 U.S.C. 371** and all documents referred to therein as being enclosed are being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C., 20231.


Richard Zimmermann